

Questions and Answers from the Financial Management Service's February 3, 2011 ▪ Collections and Cash Management Modernization (CCMM) Webinar for Federal Program Agencies

Collections and Cash Management Modernization (CCMM)

- *What is the scope of the CCMM initiative?*

CCMM is focused on the Financial Management Service's (FMS's) and Treasury's collections and cash management programs. CCMM does not address money coming in from the recovery of delinquent debts, intra-governmental funds transfers, or money disbursed by the government. It also does not include FMS's larger accounting efforts, though it supports those efforts.

- *Pay.gov is the only system I use. The rest of the info is not familiar to me. What do I need to do for Pay.gov?*

You don't need to do anything for Pay.gov, which will continue to operate. However, CASHLINK II (CL II) has official deposit reports on Pay.gov transactions, and CL II is going away by the end of 2012. The Transaction Reporting System (TRS) will replace this CL II deposit reporting. Your Federal Program Agency (FPA) should begin using TRS as soon as possible. Eventually, TRS will take over the detailed transaction reporting that Pay.gov provides to agencies, so that TRS becomes the "one-stop shop" for your reporting. However, this is not expected to be fully implemented until sometime after 2012.

- *ITS.gov is listed on the "As-Is" diagram but not the "To Be." Why is that?*

ITS.gov is not going away; it will continue to operate. The focus of the “As-Is” and “To-Be” diagrams is on the FMS’s collections and cash management programs, as opposed to FMS business areas such as disbursements. ITS.gov primarily is a disbursements program. The “As-Is” and “To-Be” diagrams show programs from other FMS business areas only to the extent that they connect to collections and cash management programs. ITS.gov currently provides data to one such collections and cash management program, but it will no longer have to do so in the “To-Be” state. For that reason, it is not shown on the “To-Be” diagram.

- *Will this (CCMM) affect or include FedDebt or IPAC collections?*

No. CCMM is focused on the collection of public money. It is not focused on other FMS business areas such as disbursements, debt collection (FedDebt), or Intra-Governmental Payment and Collection (IPAC).

- *If the public were to make a payment to the FPA, which deposits are secure and one-way transactions. We need an option which cannot be disputed and reversed.*

The best option in this regard would likely be Fedwire transactions, which are deposited through our new Credit Gateway program. Fedwire transactions are immediate and final upon receipt. Generally speaking, they cannot be reversed without consent. More information on the Credit Gateway is available at fms.treas.gov/creditgateway.

Over the Counter Network (OTCnet)

- *If I am currently using TGAnet for deposit reporting, will I still need to enroll into TRS prior to converting to OTCnet?*

Yes, you will need to enroll in TRS in order to view your deposit information in TRS if you are a Treasury General Account Deposit Reporting Network (TGAnet) user. All current TGAnet FPAs were automatically converted over to the Over The Counter Network (OTCnet) on March 19, 2011. TGAnet data is already available in TRS. We recommend you visit the TRS website at www.fms.treas.gov/trs and contact TRS Agency Outreach Team at 301-699-6814 or email TRSagencyoutreach@pnc.com.

- *I do my deposits now through TGAnet. What are the next steps for me to take to start using this new system?*

Your next steps to prepare for converting to OTCnet for Deposit Reporting are:

- 1) Visit our website to review the system requirements for OTCnet at:
http://fms.treas.gov/otcnet/sys_req.
- 2) Complete the web based training at <http://www.fms.treas.gov/otcnet/training>
- 3) Contact the Agency Deployment team if there are any questions or concerns
- 4) Sign into OTCnet at: <https://otcnet.fms.treas.gov> and verify your FPA information is the same as it was in TGAnet. You will use your same ID and password.
- 5) Report any discrepancies to the Agency Deployment team.
- 6) Process deposits into OTCnet going forward.

- *Will the service keep the original checks?*

FPA's currently using TGAnet will continue to take checks to the financial institution for processing and report those deposit through OTCnet Deposit Reporting.

If you are an FPA using Paper Check Conversion Over the Counter (PCC OTC) then please continue to follow those processing instructions for the disposition of checks.

- *If the systems goes down - does the service do the deposit manually?*

In the OTCnet online mode, all checks that have been saved while you were scanning and creating your batch are saved on the online servers. Therefore, if the systems goes down all your checks saved will be available for processing once the system is back up.

We will have an OTCnet offline version available this June 2011 that will serve as a contingency to the online system. If the online is down for an extended period of time you will be able to scan checks in a batch and store process. You will be able to transmit your checks scanned in the offline for settlement once the online is available.

- *For those of us waiting to start in April, should we order and have a scanner such as the C7000i?*

PCC OTC agencies will continue to use their current hardware check scanner. If you are using the older model RDM EC5000i or EC6000i, please contact FMS to upgrade to a current model EC7000i scanner. If you are currently using TGAnet then you will continue to deposit your checks at your financial institution. If you are interested in using the check capture functionality of OTCnet then a scanner is required. Please visit our website for more information.

- *Will the preparer roles for TGAnet be the same for OTCnet?*

The Deposit Preparer role will remain the same for OTCnet

- *Should agencies that are not on PCC OTC go on and purchase their hardware in prep for OTCnet?*

The priority for FPA is to implement OTCnet for deposit reporting of paper SF 215's. If you are not currently on TGAnet we encourage you to implement OTCnet for the deposit reporting first and then we will work with you to use the check capture functionality at a later date.

- *Where is training available for OTCnet? This is new to me. Will participating Federal Reserve Banks (FRBs) be trained on how to process deposits through OTCnet? How can we get our participating bank this info?*

The OTCnet training can be found on our FMS website at:
<http://www.fms.treas.gov/otcnet/training>.

All financial institutions and the FRBs will be trained on the OTCnet system.

- *We use SF 215 when TGAnet is not available or when only one person is in the office to complete the deposit due TGAnet requiring a processor and an approver. Will there be a backup system should for our offices? Will they still be able to process payments if only one staff is available?*

Preparing the current paper SF 215 Deposit Voucher will not be an option after December 2012, once CL II is turned off. All over the counter deposits taken to a Treasury General Account (TGA) bank must be reported through OTCnet using the automated deposit reported functionality. OTCnet will follow the same approval process as in TGAnet. The process is in place to reduce the risk of errors in making the deposits.

- *TGAnet will migrate to OTCnet in March. When will agencies be able to enroll new sites for the TGAnet module?*

Starting by the middle of April 2011 we will begin enrolling new agencies and expanding locations within agencies for OTCnet.

- *My office utilizes both programs; will my full conversion then begin March 19, 2011?*

The TGAnet portion converted to OTCnet on March 19, 2011. Please continue to use PCC OTC until you are contacted by FMS. The PCC OTC conversion will be by individual agencies and will occur from April 2011 through December 2012.

- *The presenter mentioned that there will be no exceptions once CL II goes offline and FPAs will no longer be able to use paper SF-215s for deposits. What provision has been made for checks that are unreadable/cannot be scanned? How should FPAs go about depositing such checks?*

The Deposit Reporting functionality of OTCnet allows for agencies to take those checks to their TGA bank for deposit. Instead of completing a paper SF 215 you will prepare the deposit in OTCnet where the bank will have access to verify and approve your deposit.

- *If you are currently using TGAnet do we already have the system requirements in place?*

No, OTCnet will have new system requirements from TGAnet. Please visit our website and review the OTCnet systems requirements at:
http://www.fms.treas.gov/otcnet/sys_req.html.

- *I am an LSA Administrator for TGAnet. How will my role change with OTCnet? Will I still be an administrator or will that be handled higher up in the FPA or other?*

If you are currently a Local Security Administrator (LSA) for TGAnet then you will remain the same for OTCnet. Depending on if you are at a bureau level, we will attempt to find a contact at the department level to act as a LSA for the entire department.

- *We currently use PCC OTC and ELVIS to process our deposits. Will we still use ELVIS?*

The functionality of the Electronic Verification Imaging System (ELVIS) component of PCC OTC will be subsumed into OTCnet. You will still have access to Central Image Research Archive (CIRA) and Master Verification Database (MVD) to perform searches and retrieve reports similar to ELVIS.

- *Are we required to go to OTCnet Check Processing? Who will be providing the hardware, the FPA?*

The priority at this time is for agencies to enroll into OTCnet for Deposit Reporting to eliminate the paper SF 215. If you are currently not on TGAnet, then we recommend you visit our OTCnet website at <http://www.fms.treas.gov/otcnet> and contact FMS immediately.

- *Since we participate in the Treasury Seized Cash Program is there any thing we need to do to transition to TRS for those deposits?*

There is no required action for agencies using the Treasury Seized Currency Collection Network (SCCN) to support the transition to TRS. The SCCN will continue to occur through the Credit Gateway for Fedwires. TRS will have the same level of detail as in the Fedwire messages you receive from Cashlink II for agencies who participate in the Seized Currency Collection Network (SCCN).

- *Is OTCnet not compatible with Internet Explorer 6?*

OTCnet is only compatible with Internet Explorer 7 and 8. If you are on Internet Explorer 6, you will have needed to upgrade prior to the conversion date of March 19, 2011 for TGAnet and your scheduled conversion date for PCC OTC (TBD).

- *My FPA sometimes collects cash, with the elimination of TGAnet how would a deposit be transacted?*

You will be able to record cash deposits into OTCnet the same as you do in TGAnet today.

- *Will OTCnet have the same functionality as far as checking scanning and 215 retrieval?*

OTCnet will have the same functionality to scan checks online or offline and also store the SF 215 for your retrieval.

- *We are a DoD Military Healthcare Facility and we use the paper SF215 deposit tickets; is it mandatory that all be converted?*

It is mandatory that all agencies making paper SF 215 deposits are required to enroll in OTCnet to report those deposits electronically by December 2012 prior to CL II being turned off.

- *How soon will you start onboarding additional financial institutions?*

We onboarded the current TGAnet Financial Institutions (FIs) on March 19, 2011. After that date, we are working to onboard the additional FI's not currently on TGAnet around the middle of April 2011.

Transaction Reporting System

- *Is IPAC going into TRS?*

No, IPAC data will not be fed into the TRS. TRS is the collections repository and will only contain revenue collections information not intra-governmental collections information.

- *ASAP payments are reported on CL II. How will they be reported if TRS is a reporting system for collections and ASAP is a payment system controlled by the Federal Reserve Bank (FRB)?*

Automated Standard Application for Payment (ASAP) activity will not be reported to TRS, the collections repository. FPAs should access ASAP to view payment information.

- *How long will data be stored in TRS? Where will CL II historical data be stored?*

Financial and program data will be stored in TRS for seven years. Litigation-related data will be stored indefinitely. All the issues surrounding the provision of historical CL II data to Federal program agencies are presently being addressed by the CL II team. Final answers will be available in Summer, 2011.

- *How are the Treasury Account Symbol (TAS) codes applied with the credit card transactions that are done via Pay.gov and sent directly to CL II?*

TRS will receive the Classification Key (C-Key) for credit card transactions from the financial agent as determined by the merchant Identification (ID) in the transaction record.

- *When will images from the lockbox activity be available on TRS (either from the lockbox bank or FRB)? Will agencies get a chance to comment on design of image capture (how the checks and back up are displayed)? Will TRS have the ability to send files in XML format?*

TRS will implement an interface with the Image Archive, but the Image Archive release has not been scheduled. For the initial TRS Image Archive interface, there are no plans to change the design of the image capture. Yes, TRS currently has the capability to send files in the Extensible Markup Language (XML) format. The TRS XML Extract Files Specification can be found on the FMS TRS website at the following location: <http://fms.treas.gov/trs/transmissions.html>.

- *We want to know where to get the system requirements for the XML download file that we will need to change our systems.*

The TRS XML Extract Files Specification can be found on the FMS TRS website at the following location: <http://fms.treas.gov/trs/transmissions.html>.

- *What is the date that CLII will be turned off?*

CLII will be turned off December 31, 2012.

- *Can you explain how often collections data will be updated on TRS? Is collections information going to be available immediately or otherwise (e.g., overnight)?*

Source systems will be sending financial and associated program data routinely throughout the day based on the source systems' voucher processing schedules and end of day processing times.

- *Why a VPN connection? Couldn't you just encrypt the information with SSL?*

The TRS standard for system-to-system interface is Virtual Private Network (VPN) over the Internet using Connect: Direct Secure+. The Secure Sockets Layer (SSL) is not the TRS standard.

- *Please discuss how non-financial Pay.gov information input through Pay.gov forms will be made available to FPAs using TRS.*

In TRS, Pay.gov form data is considered "Program Data." If the form data is less than 3932 characters, this "Program Data (non-financial Pay.gov information)" will be presented as an unformatted string of data on the TRS reports in the "Program Data" section of the standard reports and in the download reports. The form data, regardless of length will be included as an unformatted string of data in the "Program Data" section in XML files.

- *Are we able to see data in TRS when logging on the first time?*

Yes, as part of the TRS enrollment process, the TRS team ensures that FPA users have some data in TRS before they are enrolled in TRS. If you are having problems viewing data in TRS, please contact the TRS Call Center 1-800-346-5465 or 301-887-6600 or e-mail address: trs@pnc.com.

- *When CL II is gone, how will we retrieve voucher and/or wire summary and detail reports in TRS? 2. How will we retrieve and print out detail without clicking on each item? We would like to be able to print all vouchers and wire detail at one time. Printing all wires functionality is currently available in CL II. Will there be any system changes to allow for this? 3. Currently, we cannot download wires into an Access Database with either the TRS or CL II applications. Our FPA was able to download CL II data into access database prior to TRS deployment. 4. TRS is printing two pages for each wire, but in CL II it only prints one page per wire. The extra page per wire consumes extra paper and ink. For example, daily basis, our FPA processes approximately 50 wires which will require 100 pages of paper to be printed (50 more than with CL II). The 50 pages times 250 business days a year totals 12,500 extra pieces of paper. The extra paper consumes more than 25 reams of paper and one toner of ink. A possible solution for this issue is revising the format of the wire document, thus allowing the document to be printed on one page.*

In May 2011 with the Agency Reporting Iteration 3 release, agencies will be able to print and download multiple detail items using the Voucher Download and the Fedwire Download reports. CL II still supports downloading of Fedwire data for input to applications such as Microsoft Access. If you are having difficulty loading the CL II Fedwire data into an Access database, it may be due to the fact that the Fedwire Message Audit Number has decreased in length. If you are having a production problem with downloading files of wire data from CL II, please contact the CL II Call Center for assistance. TRS has received feedback that printing all of the wire detail on one page is preferable to the current TRS report design and possible changes are being evaluated.

- *I have received a user ID and temporary password from TRS. May I safely assume that my FPA (Department of Veterans Affairs) has already started the transition process?*

Yes, the Department of Veterans Affairs has completed TRS enrollment. You may contact the TRS Call Center 1-800-346-5465 or 301-887-6600 or e-mail address: trs@pnc.com to find the names of your security users who can assist you in learning more about the Department of Veterans Affairs transition to TRS.

- *TRS: We have a concern about security limitations regarding PII. We were told that access to PII is at the user level. If a user is granted access to PII, they will have access to PII for any FPA they have access to. We need to grant TRS access to another FPA's staff, but we do NOT want them to be able to access any PII that might be present. What can we do?*

Yes, in TRS, Personally Identifiable Information (PII) is configured by user and cannot be configured differently by Agency Location Code (ALC). When you grant access to another FPA's staff, you should request that the FPA to whom you are granting access set up the user profile with PII access turned off for users that access your ALCs. The TRS Team will log your feedback so that it gets considered for a future enhancement to TRS.

- *Please explain how the XML format interfaces with an FPA system?*

An FPA would have to have their Information Technology (IT) staff develop a program to read the FMS Enterprise Data Architecture (EDA) XML format and convert it to a format that is supported by the FPA's internal system. The FPA's IT staff would also have to work with the TRS team to set up the telecommunications link between TRS and the FPA for the transmission of the file via VPN over the Internet using Connect: Direct Secure+.

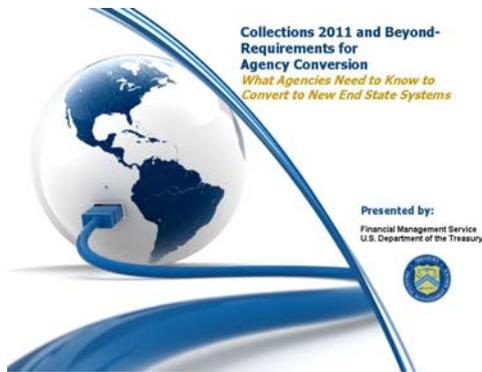
- *We are a DoD Military Healthcare Facility and use CL II only for credit card collections. Is the DoD involved with the TRS program or do the individual healthcare facilities have to enroll separately.*

TRS has started enrolling the DoD. The enrollment is being done incrementally. Since you currently have access to CL II your organization will likely need to enroll in TRS. If you'd like further information on your particular FPA, please contact the TRS Agency Outreach Team at 301-699-6814 or e-mail:

TRSAgencyOutreach@pnc.com

Acronym Glossary

ALC	Agency Location Code
ASAP	Automated Standard Application for Payment
CIRA	Central Image Research Archive
CCMM	Collections and Cash Management Modernization
C-Key	Classification Key
CL II	CA\$HLINK II
DoD	Department of Defense
EDA	Enterprise Data Architecture
ELVIS	Electronic Verification Imaging System
FI	Financial Institution
FMS	Financial Management Service
FPA	Federal Program Agency
FRB	Federal Reserve Bank
ID	Identification
IPAC	Intra-Governmental Payment and Collection
IT	Information Technology
LSA	Local Security Administrator
MVD	Master Verification Database
OTC	Over The Counter
OTCnet	Over The Counter Network
PCC OTC	Paper Check Conversion Over The Counter
PII	Personally Identifiable Information
SCCN	Seized Currency Collections Network
SSL	Secure Socket Layer
TAS/BETC	Treasury Account Symbol/Business Event Type Code
TGA	Treasury General Account
TGAnet	Treasury General Account Deposit Reporting Network
TRS	Transaction Reporting System
VPN	Virtual Private Network
XML	Extensible Markup Language



Revenue Collections Contact Directory

■ Collections and Cash Management Modernization (CCMM)

Website: <http://fms.treas.gov/ccmm>

Email: ccmm@fms.treas.gov

Agency Customer Relationship Managers (CRMs):

www.fms.treas.gov/crm/contacts.html

Director of Modernization Planning Office: Gregory Till, 202-874-6935

gregory.till@fms.treas.gov

■ OTCnet

Website: <http://fms.treas.gov/otcnet>

Email: fms.otcchannel@citi.com (Customer Service)

OTCnet Program Manager: Bonnie Ray, 202-874-2138

bonnie.ray@fms.treas.gov

OTCnet Business Lead: Reginald McKinney, 202-874-6893

reginald.mckinney@fms.treas.gov

Director of OTC Revenue Collection: David Metler, 202-874-0011

david.metler@fms.treas.gov

■ Pay.gov

Websites: <http://www.pay.gov>, <http://fms.treas.gov/paygov>

Email: pay.gov.clev@clev.frb.org

Pay.gov Customer Support: 800-624-1373 (tollfree, option#2),
216-579-2112 (option #2)

Pay.gov Program Manager: Marshall Henry, 202-874-6940
marshall.henry@fms.treas.gov

Director of General Revenue Collection: Michael Mackay, 202-874-6892
michael.mackay@fms.treas.gov

■ Transaction Reporting System (TRS)

Website: <http://fms.treas.gov/trs>

Email: trsagencyoutreach@pnc.com

TRS Agency Outreach Team: 301-699-6814

CA\$HLINK II Program Manager: Julie Davis, 202-874-6714
julie.davis@fms.treas.gov

Director of Accounting and Data Management: Tamara Whitaker, 202-874-7132
tamara.whitaker@fms.treas.gov